RESOLUTION NO. 6398

WHEREAS, the Board of Directors has determined it is in the best interest of the District, its employees, and its customer-owners to establish written policies that describe and document OPPD’s corporate governance principles and procedures; and

WHEREAS, each policy was evaluated and assigned to the appropriate Board Committee for oversight of the monitoring process; and

WHEREAS, the Board’s Governance Committee (the “Committee”) is responsible for evaluating Board Policy SD-12: Information Management and Security on an annual basis. The Committee has reviewed the 2020 SD-12: Information Management and Security Monitoring Report and finds OPPD is taking reasonable and appropriate measures to comply with Board Policy SD-12 as stated.

NOW, THEREFORE, BE IT RESOLVED that the Board of Directors accepts the 2020 SD-12: Information Management and Security Monitoring Report, in the form as set forth on Exhibit A attached hereto and made a part hereof, and finds that OPPD is taking reasonable and appropriate measures to comply with Board Policy SD-12: Information Management and Security.
Monitoring Report SD-12
Information Management and Security

Governance Committee Report
October 2020
Robust information management and security practices are critical to effective risk management and to ensure regulatory compliance, business resiliency and customer-owner satisfaction.

OPPD shall safeguard and protect data, information and assets from inappropriate use, improper disclosure and unauthorized release.

SD-12: Information Management & Security
Ensuring Compliance to SD-12

New Initiatives and Controls

Ongoing Controls
Information Security

Objective

• OPPD will implement processes and methodologies to protect print, electronic, or any other form of information or data from unauthorized access, misuse, disclosure, destruction, or modification

Ongoing Controls

• Maturing our capabilities to identify and respond to cybersecurity events
• Identifying and mitigating known vulnerabilities based on risk to the organization
• Conducting regular cybersecurity incident response exercises to test and improve our processes
• Establishing and maturing plans, procedures, and technologies to detect, analyze, and respond to cybersecurity events
• Leveraging partnerships to collect and provide cybersecurity information, including threats and vulnerabilities, to reduce risks and to increase operational resilience
• Maturing our security awareness services with a focus on phishing prevention
• Creating security awareness to all employees through training and communications
Customer Privacy

Objective

• Except as provided by law or for a business purpose, OPPD will not disseminate customer-owner information to a third party for non-OPPD business purposes without customer-owner consent

• Where sensitive and confidential information is disseminated for a business purpose, OPPD will ensure that the third party has information practices to protect the sensitive and confidential customer-owner information

• OPPD will maintain a process that identifies the business purposes for which OPPD will collect, use and disseminate sensitive and confidential customer-owner information

Ongoing Controls

• OPPD’s Identity Theft Prevention Program is cornerstone for ensuring customer privacy throughout OPPD
  – This program is reviewed regularly for effectiveness and compliance with state and federal regulations
  – An annual report of this program is reviewed by OPPD management to ensure its effectiveness
  – All employees with access to customer information are trained based on this program, including annual training and regular assessments in relation to data sharing and security

• Customer Service and Public Affairs teams partner to provide customer communications based on fraud-related trends and events
Records Management

Objective

• The efficient and systematic control of the creation, capture, identification, receipt, maintenance, use, disposition, and destruction of OPPD records, in accordance with legal requirements

Ongoing Controls

• Ensuring records management staff are trained on practices and have procedures for maintaining, archiving and destruction of required business records
• Leveraging industry and external partnerships, including outside utilities and government entities such as Nebraska Public Power District and State of Nebraska
• Continuing process and service improvement in light of efficiency, effectiveness and security
• Strengthening collaboration across OPPD in the area of records management
• Supporting records management efforts associated with FCS nuclear decommissioning activities
Compliance – Ongoing Controls

Objective

• Comply with contractual and legal requirements through the use of technical controls, system audits and legal review

Ongoing Controls

• Developing program for policy governance, procedures and standards
• Engaging employees, legal counsel and external entities to stay abreast of the changing landscape from a legal/compliance perspective
• Confirming that security and privacy measures included in contracting processes for the protection of OPPD data and systems provided by or supported by third parties
• Performing internal and external audits and reviews on a regular basis and reports on findings provided to management
Progress in 2020

Information Security
- Assessed impact of successful ransomware attacks
- Joined Department of Energy’s Cybersecurity Risk Information Sharing Program (CRISP)
- Evaluated security threat from changes from COVID-19
- Made progress on 2020 initiatives
- Participated in cybersecurity incident response exercises

Records Management
- Continue redesign of records management function
- Completed retention schedule review, seeking State of Nebraska Records Management Office approval
- Leverage industry and external partnerships
- Support records management effort associated with FCS nuclear decommissioning activities

Customer Privacy
- Partnered to proactively shut down toll free numbers known to be used in attempt to defraud OPPD customers
- Formed local utility partnership with LES & NPPD to alert each other of area scam activities
- Joined Utilities United Against Scams (UUAS)
- Developing self-service reporting

Compliance
- Created new BTBS policy framework
- Developed security and privacy controls in our supply chain processes, including our vendor selection and contract processes
- Leveraged enterprise change management and corporate communications for socialization of changes
Recommendation

• The Governance Committee has reviewed and accepted this Monitoring Report for SD-12 and finds that OPPD is taking reasonable and appropriate measures to comply with Board Policy SD-12
Questions
BOARD OF DIRECTORS

October 13, 2020

ITEM

SD-12: Information Management and Security Monitoring Report

PURPOSE

To ensure full board review, discussion and acceptance of SD-12: Information Management and Security Monitoring Report.

FACTS

a. The first set of Board policies was approved by the Board on July 16, 2015. A second set of Board policies was approved by the Board on October 15, 2015.

b. Each policy was evaluated and assigned to the appropriate Board Committee for oversight of the monitoring process.

c. The Governance Committee is responsible for evaluating Board Policy SD-12: Information Management and Security.

d. The Governance Committee has reviewed and accepted the SD-12: Information Management and Security Monitoring Report and finds that OPPD is taking reasonable and appropriate measures to comply with the policy.

ACTION


RECOMMENDED: Kathleen W. Brown
Vice President and Chief Information Officer

APPROVED FOR BOARD CONSIDERATION: Timothy J. Burke
President and Chief Executive Officer

Attachments:
Exhibit A – Monitoring Report
Resolution